Current Topics and Perspectives in Surgical Management of Hepatocellular Carcinoma

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Abstract: Hepatocellular carcinoma is among the leading causes of cancer-related mortality. Due to the numerous surgical and non-surgical therapeutic options, the treatment strategy requires an optimal selection of patients based on tumor stage and liver functional reserve. A potentially curative surgical resection or liver transplantation is only recommended for patients with early stage disease. In this chapter, we overview the current topics and perspectives in the surgical management of hepatocellular carcinoma by disease stage with a special focus on new surgical techniques and expanding range of indications outside of the accepted Barcelona Clinic Liver Cancer algorithm.

Keywords: BCLC staging system; hepatocellular carcinoma; laparoscopic liver resection; liver transplantation; surgical treatment.


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INTRODUCTION

Hepatocellular carcinoma (HCC) is among the leading causes of cancer-related death worldwide (1). Surgical resection or liver transplantation is the principal treatment option, depending on various factors, such as the liver functional reserve and tumor stage at the time of diagnosis. However, patients with HCC often present with late stage disease, which severely restricts the possibility for surgical resection. The Barcelona Clinic Liver Cancer (BCLC) staging system has become established as the most widely accepted staging system for HCC. In addition, the BCLC system is a clinical treatment guideline and comprises five stages related to the patient’s performance, tumor condition, and liver functional reserve. Curative liver surgery is only recommended for early stages of HCC. In this chapter, we summarize the current role of surgical resection for HCC by disease stage in accordance with the BCLC treatment algorithm. Furthermore, we highlight the limitations of surgical resection and report data that support a treatment outside the accepted BCLC algorithm with a special focus for “advanced” but technically resectable HCC. Finally, we provide an overview on the ongoing developments of new surgical techniques, such as laparoscopic liver resection (LLR), robot-assisted liver resection (RALR), the associating liver partition, and portal vein ligation for staged hepatectomy (ALPPS) procedure, as well as perspectives in liver transplantation.

CURRENT SURGICAL MANAGEMENT OF HCC

Surgical resection or liver transplantation is the mainstay of potentially curative treatment for HCC. In addition to the surgical treatments or in patients with HCC who are not candidates for major liver surgery, there are various minimally invasive procedures besides systemic chemotherapy, including selective internal radiation therapy (SIRT), radiosurgery (Gamma Knife), transcatheter arterial chemoembolization (TACE), radiofrequency ablation (RFA), highly focused ultrasound (HIFU), microwave ablation (MWA), percutaneous ethanol injection (PEI), as well as irreversible electroporation (IRE). In this chapter, we focus on the current topics of surgical treatment for HCC at an early-, intermediate-, and advanced-stage based on the BCLC staging system. Furthermore, we discuss the current value of liver transplantation in the treatment of HCC.

Preoperative assessment

Staging of HCC is determined on the basis of size and number of tumors and the presence or absence of vascular invasion as well as extrahepatic lesions. The anatomic delineation of tumor extent is best achieved with dynamic multiphase computed tomography (CT), whereas the hepatic arterial phase is assessed separately from the portal venous phase with a late “wash-out” phase (2–4). Magnetic resonance imaging (MRI) appears to be more accurate in liver staging for HCC using multiphasic and multiparametric imaging by combining T1-, T2-, and diffusion-weighted imaging with dynamic multiphasic imaging (5–7).
The rate of extrahepatic disease spread of HCC at diagnosis is overall low, and the recognized sites of metastatic spread are lung, bone, peritoneum, and adrenal glands. Although not generally recommended, the 18-fluorodeoxyglucose positron-emission tomography (FDG-PET) can be used for the detection of otherwise occult distant metastatic disease (8). However, the risk of extrahepatic spread is higher in patients with a large tumor >5 cm, and such patients warrant additional imaging studies or staging laparoscopy with intraoperative ultrasound (IOUS) prior to surgical resection (9). Another benefit of IOUS is the identification of major intrahepatic vascular structures, which can be used to guide segmental or non-anatomic resections (10).

According to the BCLC staging system, liver function is assessed on the basis of the Child-Pugh classification, the presence of portal hypertension, and the presence of elevated serum bilirubin concentrations (11). A scoring system for assessing the severity of chronic liver disease, and subsequently in prioritizing for receipt of a liver transplant, is the Model for End-Stage Liver Disease or MELD (12). Currently, this score is used by the United Network for Organ Sharing (UNOS) and Eurotransplant for prioritizing allocation of liver transplants (12, 13). The clearance of indocyanine green (ICG-15) at 15 min can be used as a defining criterion for the selection of patients as well as liver resection type (14, 15). Moreover, the newly developed LiMAX® (Humedics, Berlin, Germany) test, a $^{13}$C-labelled methacetin-based metabolic liver function capacity test, is a suitable diagnostic tool to predict the individual risk of postoperative liver failure after liver surgery (16).

**BCLC staging classification**

Since the staging system was first published in 1999, the BCLC staging system has emerged as a primary system for staging as well as a clinical guideline for the treatment of HCC (17). The BCLC staging system stratifies treatment algorithms based on the patient’s performance status, the size and number of tumor nodules present, the presence of liver impairment, including portal hypertension, as well as degree of cirrhosis as measured by the Child-Pugh classification (Figure 1). The classification has been updated according to evidence-based data and is presently endorsed as the standard system for HCC management by the American Association for the Study of Liver Disease, American Gastroenterology Association, European Association for the Study of Liver and the European Organization for the Research and Treatment of Cancer (18–20). Nonetheless, the BCLC system has been heavily criticized for its extremely limited criteria for resection and its recommendations against potentially curative liver surgery for “advanced” but technically resectable HCC.

**Early-stage disease**

According to the BCLC algorithm, only patients with very early stage disease (BCLC 0), with a single lesion less than 2 cm, no evidence of portal hypertension and normal bilirubin levels, are recommended to undergo liver resection. Patients with early-stage disease (BCLC A), defined as single or three nodules less than 3 cm, fulfill the Milan criteria and are recommended to undergo liver
transplantation unless other comorbidities are present (21). Currently, there is an ongoing debate regarding surgical resection versus liver transplantation for (very) early-stage HCC. Concerning this matter, patients with preserved liver function and low-level cirrhosis have similar survival outcomes after liver surgery compared to liver transplantation (22). Due to low availability of organs, lifelong immunosuppression, and larger healthcare costs, orthotopic liver transplantation should be employed for patients with more severe cirrhosis (23).

According to the BCLC algorithm, PEI and RFA are recommended for early-stage disease. However, numerous studies revealed an improved survival outcome in patients who underwent surgical resection (24–26). A meta-analysis including 21,000 patients demonstrated a better overall survival as well as recurrence-free survival after surgical resection in comparison with RFA and/or PEI (24). Xu et al. confirmed this finding in another meta-analysis of over 2,500 patients (25). In addition, a prospective randomized trial including 235 patients who met Milan criteria showed a 5-year overall survival of 76.65% in the surgery group versus 54.78% in the RFA group (26). However, due to small residual liver volume, low liver functional reserve, or poor performance status, only 10–35% of patients with very early- and early-stage disease underwent liver resection (19, 27). Nonetheless, these study data underline the advantages of surgical liver resection compared to local ablative therapies. Therefore, liver surgery should be offered in those patients with an early-stage HCC who can tolerate a major hepatic resection based on the underlying liver disease as well as comorbidities. Liver transplantation should be performed in patients with HCC and patients with life-limiting cirrhosis. Otherwise, in patients with poor performance, local ablative therapies should be considered (23).
Intermediate-stage disease

Intermediate-stage disease is defined by the BCLC staging system as patients with multinodular HCC and good performance status as well as no clinical evidence of portal vein invasion, nodal disease, or extrahepatic metastases. Patients with BCLC stage B are recommended for TACE, but several studies support surgical liver resection in intermediate stage HCC (28–31). A retrospective analysis of 393 patients by Zhong et al. confirmed a statistically significant improvement of median overall survival of patients who underwent surgical resection (59% vs. 29% at 3 years) compared to patients who underwent TACE (28). Another study from Ho et al. including 1,065 patients with multiple HCCs confirmed a better 5-year survival rate (36.6% vs. 11%) in the liver surgery group compared to the TACE group (30). Furthermore, a prospective analysis of 168 patients with multiple HCC lesions greater than 5 cm showed the best 5-year survival of 50.5% in patients who responded to neoadjuvant TACE followed by surgical liver resection (31). This finding was confirmed by a retrospective cohort study involving 110 patients (32). The median survival of patients who underwent TACE followed by liver resection was 47 months compared to 20 months in patients who received TACE alone.

To summarize, a treatment strategy of TACE for downstaging followed by surgical liver resection seems to be beneficial for patients with large and multifocal, but resectable, HCCs falling within BCLC stage B.

Advanced-stage disease

The BCLC defines advanced-stage disease (stage C) as HCC with nodal and portal vein involvement, extrahepatic spread, or patients with poor performance status. According to the BCLC algorithm, the treatment of patients with stage C HCC is systemic sorafenib therapy (33). At present, systemic treatment of HCC is evolving rapidly, and three new multikinase inhibitors (i.e., regorafenib, lenvatinib, and cabozantinib) have been shown to be effective in phase 3 clinical trials (34). In the REFLECT trial, lenvatinib has shown to be non-inferior to sorafenib in a front-line setting (35). The treatment sequence of sorafenib plus regorafenib showed an important extension in overall survival of patients with advanced HCC, in the second-line setting (36). Based on the findings of CELESTIAL phase 3 trial, a treatment with cabozantinib resulted in longer overall survival and progression-free survival than placebo (37). Thus, the multikinase inhibitor cabozantinib seems to be an additional treatment option for use in adults with advanced HCC previously treated with sorafenib. Notably, sorafenib demonstrated no benefit in the adjuvant setting in HCC following surgical resection or local ablation (38). To date, no new drugs are approved for the adjuvant setting (39). However, there are numerous retrospective studies supporting surgical resection in patients with advanced HCC (40–46). A retrospective study by Ruzzenente et al. showed a statistically significant longer median survival (27 months vs. 12 months) in HCC patients with macroscopic vascular involvement who underwent liver surgery compared to systemic therapy only (41). A combination of local ablation via RFA or TACE and surgical resection seems to be a treatment option in patients with bilobar...
HCC metastases, but preserved liver function (44). This finding was confirmed by Liu et al. by a better survival outcome in selected patients with bilobar metastases and satisfactory liver function who underwent a combination of hepatic resection and ablation compared to non-resectional therapies (45). Generally, in areas with high incidence of HCC, such as Asia, surgical resection is commonly offered to patients with stage C disease (46, 47).

In summary, the retrospective literature highlights the value of a combined treatment strategy involving surgical liver resection and local ablation in a selected patient population of advanced HCC, particularly when liver function is preserved. Nonetheless, the survival of advanced HCC is still poor, and prospective randomized controlled studies are needed to obtain data with higher quality in matters of multimodality treatment.

Liver transplantation

The only potentially curable treatment of HCC is orthotopic liver transplantation, which allows not only the cure of the HCC but also the treatment of the underlying liver disease (48). However, due to the strict Milan criteria of liver transplantation in HCC patients (which include patients with one tumor <50 mm or up to three tumors <30 mm) and the low availability of organs, only a small number of patients receive a liver transplant (21). Even though a 4-year survival rate of patients within Milan criteria is reported to be over 70% (21), approximately half of the patients develop liver cirrhosis post-transplantation (49). Nonetheless, a recent systemic review of 90 studies including 17,780 patients over a 15-year period confirmed the Milan criteria as major determinants of the prognosis of patients undergoing orthotopic liver transplantation for the treatment of HCC (50).

Limitations of liver surgery

Surgical liver resection should be performed in patients with HCC that is amenable to a negative resection margin (R0) and in patients with good liver functional reserve (23). Moreover, there is a limitation of resection by the need to maintain an adequate future liver remnant of commonly quoted 20% (volumetric prediction) in patients without pre-existing liver dysfunction (51). Remarkably, the accepted future liver remnant values are more conservative in patients after chemotherapy treatment (30%) and in patients with evidence of cirrhosis (40%) (52). Therefore, these patients need a more conservative approach due to lower functional liver reserve. Patients with advanced cirrhosis or portal hypertension may be better managed by liver transplantation or ablative therapies. However, the risk of hepatic resection must be balanced by the patient’s potential benefit from aggressive surgery.

PERSPECTIVES IN SURGICAL MANAGEMENT OF HCC

In the last two decades, there has been continuous development of new surgical techniques allowing for safer and more aggressive liver resections. Moreover, surgeons have developed a deeper understanding of physiology and functional
reserve of the liver. Due to the broad criticism of the BCLC guidelines for recommending non-surgical treatment, expansion of surgical management for HCC should be explored. Here, we review significant advancements in surgical management of HCC including new techniques, particularly LLR, RALR, and the ALPPS procedure. Moreover, we recap extended criteria for liver transplantation beyond the Milan criteria.

Laparoscopic liver resection

Since the first use of laparoscopy for liver surgery in 1991 (53), several studies have shown the safety and efficacy of LLR with many advantages, including reduced blood loss and shorter hospital stay (54, 55). In addition, the International Survey on Technical Aspects of Laparoscopic Liver Resection (INSTALL) study revealed an increased number of LLR cases worldwide (56). The Second International Consensus Conference for Laparoscopic Liver Resection was held in Morioka, Japan, in 2014, with the dual goal of defining the current role of LLR and developing recommendations and guidelines (57). Despite a lack of high-level studies, minor LLR has become a standard practice. In contrast, the recommendation of the consensus meeting for major LLR was that surgeons undertaking these procedures should be experienced in both the liver surgery and advanced laparoscopy due to remaining risks associated with the newness of the procedure (57, 58). Furthermore, postoperative outcomes should be evaluated by randomized controlled trials and in registries.

In terms of the oncological outcome, several retrospective studies as well as meta-analysis demonstrated that LLR is non-inferior to laparotomy with fewer adverse effects, smaller amounts of blood loss, and shorter hospital stay (59–64). No significant differences between open and laparoscopic liver surgery were observed regarding overall survival of patients with early-stage HCC. Moreover, LLR seems to be superior in patients with impaired liver function (65, 66), and a better disease-free survival rate in advanced HCC patients was observed (67). Currently, a novel scoring system of surgical difficulty based on tumor factors (including location and relationship to large vessels) and liver functional reserve has been proposed as a training guideline (68, 69). In addition, this novel scoring model has been correlated with the postoperative outcome (70, 71). In summary, the laparoscopic approach will lead to expanding the surgical indications for HCC, especially in patients with chronic liver disease. Furthermore, a step-by-step training system for surgeons based on the novel difficulty scoring system can make this expansion safer and more effective for patients with HCC.

Robot-assisted liver resection

Since its inception in 2002, the innovative approach of RALR has gained worldwide acceptance (72–74). The indications for robotic liver resections are similar to those of LLRs, according to the Morioka consensus (57). In general, the indications are solitary lesions <5 cm and located in liver segments 2–6 (75). Nonetheless, a number of reviews revealed extended indications for the robotic approach including every segment of the liver (73, 74, 76). Contraindications
are tumors with the invasion of major vascular structures or patients who are pneumoperitoneum-intolerant. A study by Lai et al. confirmed no significant differences in oncological outcomes between the robot-assisted and the conventional laparoscopic approach (77). Moreover, both techniques are similar in terms of blood loss, morbidity, and hospital stay, but prolonged operative times and increased costs were more evident in the robotic approach (76). The major advantage of RALR may lie in sectoral, segmental, or subsegmental resections in difficult-to-reach positions like posterior–superior segments and caudate lobe (78). Another benefit is the possibility of a shortened learning curve for complex liver resections based on the experiences in robot-assisted pancreatic resection (79). According to the currently available literature, RALR seems to be safe and feasible in selected patients with HCC. However, more prospective randomized studies are needed to determine the exact role of RALR within the treatment algorithm of HCC.

**Associating liver partition and portal vein ligation for staged hepatectomy**

The ALPPS procedure or “in situ split liver resection” is a novel two-stage surgical approach to induce rapid hypertrophy of the future liver remnant in a short period of time (80). The procedure is based on a combination of transection of the liver along the falciform ligament and dissection of the right portal vein in order to induce hypertrophy in the future liver remnant in patients undergoing an extended right hepatectomy. The ALPPS procedure might be considered in the following clinical scenarios: involvement of the right portal vein by HCC, progressive HCC with high risk for tumor progression between two stages of conventional surgical approach, and progressive HCC with extension to the vena cava or right heart atrium. Contraindications for ALPPS include inoperable hepatic metastasis in the future liver remnant, significant portal hypertension, and unresectable extrahepatic metastasis (81).

Nonetheless, ALPPS is associated with several adverse effects, including biliary leakage and intraperitoneal infection (82). An overall mortality rate of 59–64% has been reported in association with ALPPS (83). According to the international ALPPS registry, the overall 90-day mortality rate was 8.8%, in which 75% of deaths were related to postoperative liver failure. Moreover, patients with a model of end-stage liver disease (MELD) score of more than 10 showed a significantly increased mortality (84). For this reason, controversy exists regarding the use of ALPPS in real clinical practice, and patients should be carefully evaluated and selected in order to avoid postoperative small-for-size syndrome or acute liver failure (85). However, the evidence of oncological endpoints as well as technical availability of ALPPS is scarce up to now, and recent studies reported a perioperative mortality rate of 31% for HCC patients (86). In summary, the ALPPS procedure should be considered only in a highly selected patient population and should only be performed in highly specialized centers for liver surgery. Further studies are needed to determine the criteria for use of ALPPS and to define its value compared to other treatment algorithms of HCC.
Perspectives in liver transplantation—extended criteria

Since its establishment by Mazzaferro et al. in 1996 (21), the Milan criteria have been applied widely around the world in the selection of patients for orthotopic liver transplantation. However, the Milan criteria are very restrictive concerning post-transplant recurrence rates and could be expanded, as long as patient outcome is not impaired. The University of California San Francisco (USCF) criteria are the most widely accepted for the expansion of the Milan criteria: a solitary tumor ≤65 mm, or two to three tumors ≤45 mm, and total tumor diameter ≤80 mm, without vascular invasion or distant metastasis (87). According to Yao et al., the USCF 1-year and 5-year survival rates from lifetime data of 70 patients over a 12-year period were 90 and 75%, respectively (87). Moreover, the disease recurrence rates were comparable to those of the Milan criteria (88). Currently, further extended criteria for the selection of patients with HCC beyond the Milan criteria are subject of ongoing research. Assessment of the two clinical biomarkers, alpha-fetoprotein (<200 ng/mL) and des-gamma carboxyprothrombin (<400 mAU/mL), showed an improved selection of patients with HCC for liver transplantation (89–91). A study by DuBay et al. confirmed excellent post-liver-transplantation survival rates of patients with any HCC size and number, when an aggressive bridge-to-transplant therapy was applied and a poorly differentiated tumor was ruled out by liver biopsy (92). Tumor growth beyond the acceptable size can cause a drop out from the waiting list for transplantation. Importantly, liver resection prior to transplantation does not increase the morbidity or impair long-term survival following liver transplantation in selected patients (93). However, excessive expansion of inclusion criteria will result in an increase in waiting time and a deterioration of survival among patients on the waiting list (94). Thus, the decision for a liver transplantation beyond the Milan criteria should be based on a case-by-case consideration, balancing the operative risk versus the potential survival benefit. Moreover, liver resection should be considered as a bridge-to-transplant option in highly selected patients with HCC.

CONCLUSION

HCC is a tumor with highly variable biology that often occurs in the setting of chronic liver disease. Patients often present with late stage disease, which excludes surgical resection from the treatment options. In this chapter, we have highlighted the current topics and perspectives in surgical management of HCC. Treatment strategies require optimal selection of therapies based on various tumor factors and liver functional reserve. The introduction of new surgical techniques, especially the laparoscopic approach; the combination of surgery with ablative therapies; and the expansion of indications for surgery beyond the conservative BCLC algorithm as well as beyond the Milan criteria have increased the variety of surgical treatment options for carefully selected patients with HCC. However, regarding the complexity of all treatment options, more detailed, rigorous studies are needed to determine evidence-based guidelines.
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